



Approved for use through 09/30/2000. omb 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)			Application Number	09/254,333			
			Filing Date	4 March 1999			
			First Named Inventor	Rudolf Schwarte			
			Group Art Unit	Unknown			
			Examiner Name	Unknown			
Total Number of Pages in This Submission			Attorney Docket Number	1184-6 LAM			
ENCLOSURES (check all that apply)							
Issue Fee Transmittal Form Fee Attached Amendment/Response After Final Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Under 37 CFR 1.52 or 1.53		Assignment (for an Application Two (2) Draw Licensing-rei Petition Chee Accompanyin To Convert a Provisional A Power of Atte Change of C Terminal Dis  Remarks:	ving(s) lated Papers cklist and ng Petition Application orney, Revocation orrespondence Address	After Allowance Communication To Group  Appeal Communication to Board Of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  X Additional Enclosure(s) (please identify below):  RETURN POSTCARD			
		SIGNATURE OF AP	PLICANT, ATTORNEY, OR AGEI	NT			
Firm <i>Or</i> Individual Name	Lawrence A. Maxham	- 6/1					
Signature Dawreuce UMarken							
Date 19 July 1999							

CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:  19 July 1999							
Typed or printed name	LAWRENCE A. MAXHAM						
Signature	Lawrence allerhan	Date	19 July 1999				



## IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

## **CONCERNING A FILING UNDER 35 U.S.C. 371**

In re

Applicant : RUDOLF SCHWARTE

U.S. Application No. : (09/254,333

Filed: : \ 4 March 1999

International Application No. : PCT/DE97/01956

International Filing Date : 5 September 1997 (05.09.97)

For : METHOD AND APPARATUS FOR

DETERMINING THE PHASE AND/OR AMPLITUDE INFORMATION OF AN

**ELECTROMAGNETIC WAVE** 

Priority Data : DE 196 35 932.5

Filed: 5 September 1996 (5.09.96)

DE 197 04 496.4

Filed: 7 February 1997 (7.02.97)

Our Attorney/Docket Reference : 1184-6 LAM

Box PCT Assistant Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Applicant hereby cites the documents listed in accompanying Form PTO-1449 with respect to the above-referenced patent application under the provisions of 37 CFR, Sections 1.56, 1.97 and 1.98. Copies of the documents are attached, together with a copy of the corresponding International Search Report identifying the references.

The filing of this Information Disclosure Statement will not be construed to mean that a search was conducted or that no other material information, as defined by 37 CFR 1.56, exists.

It is Applicant's opinion that the essential features of the invention are neither described in nor obvious from any of the references mentioned above, whether taken individually or in any reasonable combination.

The Examiner is respectfully requested to make the listed documents of record in connection with the prosecution of the subjection application.

Respectfully submitted,

**RUDOLF SCHWARTE** 

By: LAWRENCE A. MAXHAM

Attorney for Applicant Registration No. 24,483

BAKER & MAXHAM Symphony Towers 750 "B" Street, Suite 3100 San Diego, California 92101

Telephone: (619) 233-9004